

COPY OF PAPERS
ORIGINALLY FILED

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/062,302	02/01/2002	Jason Annes	1247 P 185

CONFIRMATION NO. 2560

FORMALITIES LETTER



OC000000007583800

WALLENSTEIN & WAGNER, LTD.
53rd Floor
311 South Wacker Drive
Chicago, IL 60606-6630

Date Mailed: 03/05/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

04/23/2002 SHINASS1 00000108 10062302

FILED UNDER 37 CFR 1.53(b)

01 FC:101	740.00 OP
02 FC:103	270.00 OP
03 FC:102	504.00 OP
04 FC:105	130.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$774.
 - \$270 for 15 total claims over 20.
 - \$504 for 6 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1644.**

*A copy of this notice **MUST** be returned with the reply.*

5/2/02

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

COPY OF PAPERS
ORIGINALLY FILED



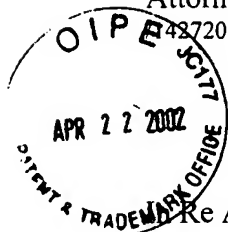
26961

PATENT TRADEMARK OFFICE

Receipt
[Signature]

PATENT

Attorney Docket No. 1247 P 185



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application Of:

Jason L. Annes and Ralph Soles

Application No.: 10/062,302

Confirmation No. 2560

Filed: February 1, 2002

For: Brake Shoe with Spring Brake Member

)
)
)
)
)
)
)
)
)
)

Examiner: (Not Assigned)

Art Unit: 3634

REQUEST FOR CORRECTED FILING RECEIPT

BOX MISSING PARTS
Commissioner for Patents
Office of Initial Patent Examination
Customer Correction Branch
Washington, DC 20231

Dear Commissioner:

The enclosed Filing Receipt omits the second joint inventor and the residences of both inventors. In accordance with the Response to the Notice to File Missing Parts of Nonprovisional Application filed concurrently herewith, please add the second joint inventor and the residences of both inventors as follows:

Jason L. Annes, Chicago, Illinois
Ralph Soles, Brandon, South Dakota

Applicants aver that the omissions occurred without deceptive intent. Finally, and in accordance with the enclosed highlighted Filing Receipt, Applicants request that a corrected Filing

Application No. 10/062,302
Request for Corrected Filing Receipt
Page 2

Receipt be issued. Any charges may be made to Deposit Account No. 23-0280. A duplicate copy of this Request is enclosed.

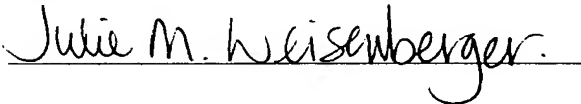
Respectfully submitted,

Date: April 9, 2002

By: 

Paul J. Nylaza, Registration No. 38,984
WALLENSTEIN & WAGNER, LTD.
311 South Wacker Drive, 53rd Floor
Chicago, Illinois 60606-6630
312.554.3300
Attorneys for Applicants

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH
THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN A POSTAGE-
PAID ENVELOPE ADDRESSED TO BOX MISSING PARTS,
COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231,
ON APRIL 9, 2002.



COPY OF PAPERS
ORIGINALLY FILED

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/062,302	02/01/2002	3634	0.00	1247 P 185	8	35	9

CONFIRMATION NO. 2560

FILING RECEIPT



OC000000007583799

WALLENSTEIN & WAGNER, LTD.
53rd Floor
311 South Wacker Drive
Chicago, IL 60606-6630

Date Mailed: 03/05/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Jason Annes, Residence Not Provided

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 03/05/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

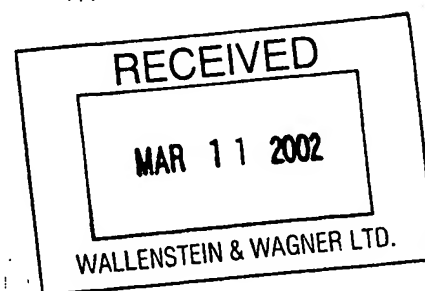
Title

Brake shoe with spring brake member

Preliminary Class

049

TO DOCKETING



LICENSE FOR FOREIGN FILING UNDER

Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).